

AK MMIS CAP Execution

CAP Program Status Report

Reporting Period: 30-May-2015 – 5-June-2015

Report Date: 12-June-2015

AK CAP Program Status Dashboard

Reporting Period: 30-May-2015 to 5-June-2015

Report Date: 12-June-2015

Overall CAP Status

Delayed

- The CAP continues to be executed largely in accordance to the baselined work plans. At this time, the vast majority of activities were completed/delivered within the CAP time period.
- At risk items are outlined below. Please refer to the work stream slides for more details:
 - Defects** – 0 defects remain. All CAP defects have been addressed.
 - CRs** - 1 CR remains. Test results under review by the State.
 - Deferred DDI Deliverables** – 5 deferred deliverables remain. (Group 2 Queries, Group 4 Queries, Final Finance Manual, Claims Processing Manual, and LMS Ad Hoc Package)
 - Operational Backlog** – 1 CAP item is outstanding; State review and approval of the updated Mass Adjustment Plan. State review in process.
 - Operational Reports** – Joint report review meetings in process. Iterative development of the MR-O-14 report continues to address recent requested State changes.

Overall CAP Accomplishments

- CRs / Defects** (*Note: Some reported defects are actually CRs, but Xerox is administratively addressing as defects.*)
- Open CAP In-Scope Defects reduced from 344 to 0** (335 defects closed, 9 have been de-scoped)
 - 9 defects were agreed to be de-scoped from the CAP in the 2/23 Joint CCB meeting
 - Open CAP In-Scope defects reduced from 335 to 0 (Total defects Closed 335)
- Open CAP In-Scope CRs reduced from 91 to 1** (81 CRs implemented, 9 have been de-scoped)
 - Test package results of CR ESPRD01004112 was sent to State for review and approval. CR planned for June release.
- Deferred DDI Deliverables**
 - All 14 deliverables with the exception of 2 have been delivered to the State. State review in process.
- Operations Backlog**
 - Suspended Claims:** Suspended claims below CAP exit criteria.
 - Provider Appeals:** Completed provider claims backlog
 - Claims Mass Adjustment:** Existing suspending Mass Adjustment batches have been deleted. A new work plan for Mass Adjustment process was created and sent to the State for review and approval. State review in process.
- Operations Reporting**
 - Continued joint review sessions.

Summary of Key Milestones / Deliverables

Milestone / Deliverable	Planned Finish Date	Projected Finish Date	Milestone Status	Comments
CAP In-scope Defects	13-Feb-2015	28-Feb-2015	Complete	CAP defects have been addressed.
CAP In-scope CRs	28-Feb-2015	30-June-2015	Delayed	All 91 CAP CRs have been addressed with exception of 1 CR which is planned for June release.
Post CAP CRs	23-May-2015	31-Dec-2015	Delayed	Out of the 49 total Post-CAP CRs, 33 CRs have been closed (25 released and 8 cancelled), 16 CRs open/in progress.
Deferred DDI deliverables	30-Jan-2015	31-Aug-2015	Delayed	All 14 deliverables with the exception of 2 have been delivered to the State. State review in process.
Process Hardening	30-Jan-2015	27-Feb-2015	Complete	CAP processes were implemented.
Operational Backlog	28-Feb-2015	30-June-2015	Delayed	CAP backlogs have been addressed. Adjustments proceeding in accordance with pace and schedule preferred by the State. Updated MA plan was developed and sent to State for review and approval.
Reporting	27-Feb-2015	31-Aug-2015	Delayed	All 15 in-scope reports - with exception of MR-O-14 - have been developed and delivered to the State. Recent State requested changes to the MR-O-14 has contributed to delay. State review of delivered reports via meetings twice per week is in process by Joint State/Xerox team.

Legend

Blue - Complete

Green - CAP execution on track

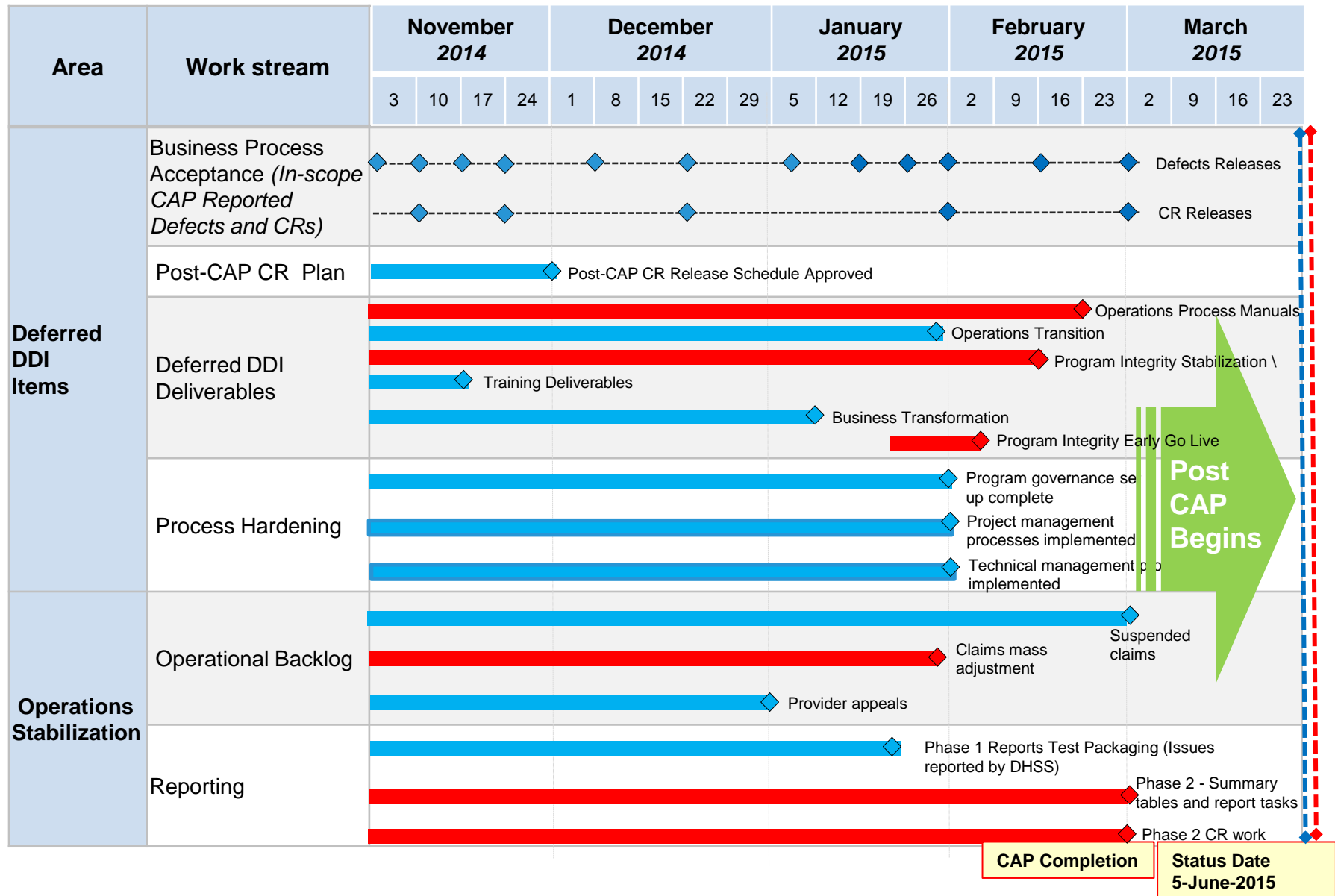
Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

AK CAP Program Milestone View

Reporting Period: 30-May-2015 to 5-June-2015

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AK CAP Scope & Work Plan Change Requests

Reporting Period: 30-May-2015 to 5-June-2015

Report Date: 12-June-2015

AK CAP Scope & Work Plan Change Request Summary (Management Intervention Required)

CAP Change ID #	Change Title	Change description	Date Decision Needed	Assigned To	Change Status	Change Decision
1	Additional Data Load (Reporting)	The State has requested an additional data load that will impact the delivery dates of some reports. The reporting team is assessing the schedule impacts of this request.	21-Nov-2014	David Meadows Jake Oner	Complete	Approved on 11/14/2014.
2	CR Scope Change	Current CAP CR scope is 85 identified CRs. Management has directed the team to work on an additional 22 CRs during the CAP time period. Approval needed if these 22 CRs should be added to the CAP scope. NOTE: <i>CRs to be worked under the existing 600 hour per month operational contract should NOT be included on this list, as they are being worked as part of on-going operations.</i>	17-Nov-2014	Dhivakar Jeganathan	Complete	Dhivakar advised that 6 CRs in the Cap-Concurrent list, need to be part of CAP and should be added to the CAP. Criteria to add to CAP per David Meadows: Add new CRs to CAP if the CR must be implemented in order to enable the successful implementation of any of the 85 CAP CRs.
3	Retrospective Claim Adjustments – Additional Tasks to Track Progress	There have been on-going discussions with the State on the Mass Adjustment (MA) plan. Xerox is in the process of updating the MA project plan to reflect those discussions (i.e. a sample of adjustments will be reviewed prior to releasing the adjustments).	19-June-2015	Linda Walsh Cindy Christensen	In Process	Xerox provided the updated project plan for State review and approval. State review is in progress
4	De-Scope CRs, Defects and Reports from the CAP	9 Defects, 9 CRs and 2 reports were requested to be de-scoped from the CAP. <i>(The work stream slides in this deck identify the specific items.)</i>	23-Feb 2015	Margaret Brodie Nick Faulkner Lori Campbell Joint CCB	Complete	Per Margaret Brodie, the Joint CCB was empowered to make the de-scoping decision given overall priorities. At the 2/23/2015 Joint CCB meeting, the State agreed to de-scope these 9 defects, 9 CRs and 2 reports from the CAP.

AK MMIS CAP Appendix

CAP Program Status Report

Reporting Period: 30-May-2015 – 5-June-2015

Report Date: 12-June-2015

CAP Scope and Acceptance Criteria

(As stated on Pages 27 and 28 of the CAP)

ID	Workstream Name	Scope Description	CAP Exit / Acceptance Criteria
1	Business Process Acceptance	Resolution of deficiencies(identified by defects and CRs) by key business process area	<ul style="list-style-type: none"> All mutually agreed upon CAP Defects (Appendix G) logged as of October 1, 2014 will be released to production by CAP end date. <i>(Note: 1 of the 345 defects was a duplicate.)</i> Defects identified after October1, 2014 will be reviewed by the CCB regarding business process impact and the assigned severity and priority will be validated. If a business process turns “red” on the heat map due to identification of new defects, it will be brought back to “white” or “yellow” state within 60 days of defect identification. For defects identified after December 14, 2014, the actual implementation of the defect fix may or may not be addressed during the CAP period but will not be considered part of the CAP acceptance criteria. Release to production of CRs in scope (appendix D) by CAP end date.
2	Post –CAP CR Plan	Develop a Post-CAP CR Plan to be executed for deferred DDI CRs tied to original RFP requirements. See Appendix F for list of CRs in scope for the Post-CAP CR Plan.	<ul style="list-style-type: none"> Post-CAP CR Plan is delivered by Xerox and approved by the State.
3	Deferred DDI Deliverables Workstream	<p>DDI deliverables (identified in the CAP schedule in Appendix B) that were deferred to post-go-live, and that are tied to in-scope CAP CRs.</p> <p>All items determined to be in scope unless deemed unnecessary by the State (e.g., obsolete, to be further deferred, etc.).</p>	<ul style="list-style-type: none"> The State approval of all remaining deferred DDI deliverables identified in the CAP work plan.
4	Operational Backlog Reduction	<p>Meet Operational backlog objectives for:</p> <ul style="list-style-type: none"> Suspended claims backlog Appeals in backlog Retrospective claim adjustments 	<ul style="list-style-type: none"> Suspended claims: Workable claims inventory in suspense less than or equal to 60,000 lines or 25,000 documents Appeals in backlog: 95% of first-level appeals should be appropriately completed in 10 days or less. Appeals must be processed correctly with appropriate outcomes rather than “closed” or bulk-denied. If closed or denied, they will rise to the 2nd level which is the state’s responsibility. Retrospective claim adjustments: Plan to address retrospective claim adjustments completed and approved by the State. <i>(Note: At this time, the CAP plan includes processing adjustments due to defects/CRs released up through Dec 31, 2014. Additional adjustments due to defects/CRs released from Jan 1, 2015 forward will be handled post CAP as part of ongoing operations.)</i>
5	Reporting	Deliver reporting system functionality	<ul style="list-style-type: none"> Reports identified in the CAP schedule are delivered and approved by the State.

Workstream: CAP In-Scope Defects – Detail Status

Reporting Period: 30-May-2015 to 05-June-2015

Report Date: 12-June-2015

Overall Workstream Status

Complete

- Overall the CAP In-scope defects workstream is complete after the rescoping of 9 defects from the CAP timeline. These defects will be tracked outside the CAP timeline and will be addressed per the Target release date agreed by Xerox and State after CCB discussion

Key Workstream Accomplishments

As of R(06/04)

- 9 defects were agreed to be de-scoped from the CAP in the 2/23 Joint CCB meeting
- Open CAP In-Scope defects reduced from 335 to 0 (Total defects Closed 335)

Note: Defect Status Descriptions:

- Resolved: *Development team completed development and unit test; Ready for QA testing*
- Closed: *QA has tested defect and passed*

Key Next Steps

- Continue to pursue defect closure which involves collaboration across State and Xerox partners
- Review defect closure plan for remaining defects with State. Plan was delivered to State on 3/6/2015.

Defect Release Status Summary

Release Dates	Release Phases				Release Status	Comments
	Analysis	Dev	QA	Regression		
R(12/06)	11/03-11/07	11/10-11/21	11/24-12/05	11/24-12/05	Complete	
R(12/20)	11/17-11/21	11/24-12/05	12/08-12/19	12/08-12/19	Complete	
Data Fix(2014)					Complete	
R(1/3)	12/1-12/5	12/8-12/19	12/22-1/2	12/22-1/2	Complete	
R(1/17)	12/15-12/19	12/22-1/2	1/5-1/16	1/5-1/16	Complete	
R(1/24)	N/A	1/19-1/23	1/19-1/23	N/A	Complete	
R(1/31)	12/29-1/02	1/5-1/16	1/19-1/30	1/19-1/30	Complete	
R(2/14)	1/12-1/16	1/19-1/30	2/2-2/13	2/02-2/13	Complete	
R(2/21)	N/A	2/16-2/20	2/16-2/20	N/A	Complete	
R(2/28)	1/26-1/30	2/2-2/13	2/16-2/27	2/16-2/27	Complete	
Data Fix(2015)					Complete	

Critical Issues - Management Intervention Required

Issue type (New / existing)	Issue Summary	Impact (See Excel Appendix for list of impacted defects)	Nature of Management Intervention Sought	Due Date	Issue Status
248	The following 9 defects were agreed to be de-scoped from the CAP in the 2/23 Joint CCB. ESPRD01056941 ESPRD01077291 ESPRD00940781 ESPRD01058479 ESPRD01077278 ESPRD01064852 ESPRD01092180 ESPRD01075927 ESPRD01039884 Out the above 9 Defects , only 3 defects are yet to be closed.ESPRD01056941,ESPRD01075927,ESPRD00940781. ESPRD01056941 rescoped to 6/27	Schedule	Get the clarifications from State and Xerox team.	On going	Resolved

Critical Risks - Management Intervention Required (Details are in Excel Appendix)

Risk type (New / existing)	Risk Description	Risk Impact (See Excel Appendix for list of impacted defects)	Risk Mitigating Action	Target Mitigation Date	Current Risk Status

CAP In-Scope Defect Burn down Summary

Release Dates	CAP In-Scope Defect Counts					
	Baseline Planned	Current Plan	Triaged and Closed /Doc only	Released and Closed	Re-scheduled	Cumulative Backlog
Past Releases	298	298	46	252		
R(12/27)	1	1	1	0		
Data Fix(2014)	24	24	0	24		
R(1/3)	0	0	0	0		
R(1/17)	1	1	0	1		
R(1/24)	0	0	-	-		
R(1/31)	1	1	1	-		
R(2/14)	0	0	-	-		
R(2/21)	1	1		1		
R(2/28)	3	3	3			
Data Fix (2015)	6	6	-	6	-	-
Future Release/CCB						
Total	335	335	51	284		
Remaining Open as of 03/9/2015	(Calculation 344-51-284)			0		

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: CAP In-Scope Defects – Detail Status(Continued)

Reporting Period: 30-May-2015 to 05-June-2015

Report Date: 12-June-2015

Business Process Heat Map Status																	
Business Process Status	Week (02/16)	Week (02/23)	Week (03/02)	Week (03/09)	Week (03/16)	Week (03/16)	Week (03/30)	Week (04/06)	Week (04/13)	Week (04/20)	Week (04/27)	Week (05/04)	Week (05/11)	Week (05/18)	Week (05/25)	Week (06/01)	Week (06/08)
White (No Severity 1 or 2)	106	105	110	112	113	113	25	27	22	23	20	20	21	20	20	12	15
Yellow (Only Severity 2)	7	7	3	2	1	1	2	1	1	1	0	0	0	0	0	0	1
Red (Severity 1)	3	4	3	2	2	2	2	1	1	1	1	1	1	1	1	1	0

Defect Summary for Red(Sev1) and Yellow(Sev2)

Defect Id	Title	Severity	Target Release	Remarks
ESPRD01075927	(Datafix) Claims fiscally pended due to no fund code	SEV2	AK.DF.15.06.x	11 more claims to be processed in ENV30. Xerox and SOA are working together to correct these claims in production.

Defects – Closure Plan for Remaining Defects

Reporting Period: 30-May-2015 to 05-June-2015

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	Severity				
Target Release	1-Critical	2-High	3-Moderate	4-Low	Total
Change Request/ Client Review Request/FAS/CR Affected		1	21		22
Core Defects to be Scheduled			2		2
AK.15.06.2.x			22		22
AK.15.06.4.x			24	1	25
AK.15.07.2.x			3		3
AK.DF.15.06.x			4		4
AK.DF.15.07.x			1		1
Tracking			2		2
Grand Total		1	79	1	81

Note: Since 10/1/2014, 16 new Sev1 Defects were opened, and 16 were closed within 60 days.

Workstream: CAP In-Scope CRs – Detail Status

Reporting Period: 30-May-2015 to 5-June-2015

Report Date: 12-June-2015

Overall Workstream Status

Delayed

- **CAP CRs reduced from 91 to 1 (Total CRs Closed = 81, De-scoped = 9)**
 - 9 CRs were agreed to be de-scoped from the CAP at the 2/23 Joint CCB meeting
 - Remaining CR (See next slide).
 - 1 CR (ESPRD01004112)

Critical Issues – Management Intervention Required (Details are in Excel Appendix)

Issue type (New / existing)	Issue Summary	Impact (See Excel Appendix for list of impacted CRs)	Nature of Management Intervention Sought	Due Date	Issue Status

Key Workstream Accomplishments

Replanned CR ESPRD01004112 for June release

Key Next Steps

Continue follow up for approval of ESPRD01004112

Critical Risks - Management Intervention Required (Details are in Excel Appendix)

Risk type (New / existing)	Risk Description	Risk Impact (See Excel Appendix for impacted CRs)	Risk Mitigating Action	Target Mitigation Date	Current Risk Status

Current and Near-term Major release status summary

Release Dates	Release Phases					Release Status	Comments
	Analysis/DSD	Dev	QA	Reg.	UAT		
R(2/28)	12/8-1/2	1/5-1/23	1/26-2/13	2/16-2/27	2/16-2/27	Complete	
R(3/14)	1 CR (4112) waiting for SOA approval					On Track	

Key Decisions and Actions to Implement

Decisions:

- None

Actions:

- None

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: CAP In-Scope CRs – Detail Status (continued)

Reporting Period: 30-May-2015 to 5-June-2015

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CAP In-Scope CR Burn down Summary						
	CAP In-Scope CR Counts					
Release Dates	Baseline Plan	Current Plan	Released	Re-scheduled	De-scoped	Cumulative Backlog
Prior to R(2/28)	70	71	71	-		-
R(2/28)	21	20	7	-	9 *	4
Approved/Released on 4/18	-	-	2	-	-	(2 released)
Approves/Released on 4/25	-	-	1	-	-	1
Total	91	91	81	-	9 *	1 **

Status of the remaining CRs is outlined below.

CR ID	Title	Recommended Release Date	Functional Area	Comment
ESPRD01004112 **	S13H2052 New Edit NH Vs Part B of A	AK.DF.15.06.x	Claims Adjudication	CR is planned for June release. In response to State questions, updated test results were sent to the State on 6/9/2015 for review and approval. Xerox is awaiting State approval of the test results.

Workstream: CAP In-Scope CRs – Detail Status (continued)

Reporting Period: 30-May-2015 to 5-June-2015

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* The following 9 CRs were de-scoped from the CAPs agreed in Joint CCB on 2/23/2015.

CR ID	Title	Recommended Release Date	Functional Area	Comment
ESPRD01056681 *	Claims Financial - AK-IN-ACT-08I-0001 - AKSAS outbound interface file - apply modifications per SOA	Cancelled	Claims Financial	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. At this point, SOA wants new redesign. A new CR created, this CR is cancelled .
ESPRD01056682 *	Claims Financial Reporting AK-RP-ACT-08I-0007 - AKSAS Journal file report - apply modifications per SOA	Cancelled	Claims Financial	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. At this point, SOA wants new redesign. A new CR created, this CR is cancelled .
ESPRD00933578 *	Create new CMS64.21E: Enrollment for Medicaid expansion CHIPs, Title XXI-funded Medicaid coverage and CMS-64EC	AK.15.09.4.X	OPTUM/MARS DSS	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. CMS64 report to be handled after implementation of Medicaid Expansion project that is expected to Go-Live in July 2015. Delivery after Medicaid Expansion project GoLive 7/1/15; Suggested release is AK.15.09.4.x
ESPRD00989388 *	S13H1557 - Enterprise Prescriber NPI – Magellan	AK.15.05.1.X	Pharmacy	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Additional follow up in testing with Magellan required this to be re-scheduled to AK.15.05.1.X
ESPRD01055786 *	S14H0653 - Pharmacy License End Date Defect - AK-IN-PRV-02I-0011 – CR	AK.15.05.1.X	Pharmacy	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Dependency on Magellan for testing required this to be re-scheduled to AK.15.05.1.X
ESPRD00991456 *	AK CR: Pharmacy Crossovers	AK.15.07.4.X	Pharmacy	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Per Joint AK CCB(2/23/15) approval to move schedule date to AK.15.07.4.x
ESPRD01079992 *	Create new user friendly lite Member Ad Hoc package	Closed	Reporting	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. This has been released in 4/25 package
ESPRD01029332 *	S14H0274 - NCCI 2nd Quarter Files	AK.15.06.4.X	Claims Adjudication	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Waiting for State approval. Planned for June release if the CR is approved by 5/1.
ESPRD01004182 *	S13H2126 - Quarterly NCCI code set updates	AK.15.06.4.X	Claims Adjudication	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Waiting for State approval. Planned for June release if the CR is approved by 5/1.

Workstream: Post-CAP CRs – Detail Status

Reporting Period: 30-May-2015 to 5-June-2015

Report Date: 12-June-2015

Overall Workstream Status

Delayed

Post CAP CR Workstream - Out of the 49 total Post-CAP CRs, status breakdown is as follows:
 33 CRs have been closed (25 released and 8 cancelled)
 16 CRs open/in progress
 12 CRs have been re-scoped to September and December 2015 based on State priorities
 3 CRs are in the process of being prioritized and scheduled
 1 Data fix CR is in progress for June Release

Key Workstream Accomplishments

R(5/30) Release
 • QA in progress

Key Next Steps

R(6/27) Release
 • DEV in progress

CR Release Status Summary

Release Dates	Release Phases					Release Status	Comments
	Analysis and DSD	Dev	QA	Reg.	UAT		
R(2/28)	12/8-1/2	1/5-1/23	1/26-2/13	2/16-2/27	2/16-2/27	Complete	
R(3/28)	12/19-1/30	2/2-2/20	2/23-3/13	3/16-3/27	3/16-3/27	Complete	
R(4/25)	1/19-2/27	3/2-3/20	3/23-4/10	4/13-4/24	4/13-4/24	Complete	
R(5/30)	2/16-3/27	3/30-4/24	4/27-5/15	5/18-5/29	5/18-5/29	Delayed	

Critical Issues – Management Intervention Required (Details are in Excel Appendix)

Issue type (New / existing)	Issue Summary	Impact (See Excel Appendix for impacted CRs)	Nature of Management Intervention Sought	Due Date	Issue Status

Critical Risks – Management Intervention Required (Details are in Excel Appendix)

Risk type (New / existing)	Risk Description	Risk Impact (See Excel Appendix for impacted CRs)	Risk Mitigating Action	Target Mitigation Date	Current Risk Status
None					

Key Decisions and Actions to Implement

Decisions:

• None

Actions:

• None

Post CAP In-Scope CR Burn down Summary

Release Dates	Post CAP In-Scope CR Counts				
	Baseline Plan	Current Plan	Released	Deferred	Cumulative Backlog
Previous Releases	22	22	22	-	-
R(3/28)	13	5	5	-	-
R(4/25)	7	6	4	-	-
R(5/30)	7	3	-	9	-
Post May	-	13	-	-	-
Total	49	49	31	9	9

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: Deferred DDI Deliverables – Detail Status

Reporting Period: 30-May-2015 to 5-June-2015

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Overall Workstream Status

Delayed

- There is 1 deliverable awaiting State review and approval (Claims Processing Manual).

Key Workstream Accomplishments

- Continued with development and testing of the Group-2 and Group-4 queries.
- Received State approval on approach document for LMS Ad HOC Package

Key Next Steps

- Continue development and internal QA of STARS JUCE queries for Group 2 and Group 4 deliverables
- Redeliver the conditionally approved Finance manual.

Key Decisions and Action Required to Implement

Decisions:

- None

Actions:

- None

Workstream: Deferred DDI Deliverables – Detail Status

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Workstream CAP Deliverables				
Deliverable	Baseline Approval Milestone	Projected Approval Milestone	Milestone Status	Comments (Refer to Issue for Details)
D-I: Deliver test results along with the updated scorecard V2.01 with SQL queries	10/31/14	10/31/14	Complete	DHSS approved on 12/8/2014.
D-I: Group3 (Budget Overview, Enrollment, Abortion STARS/JUCE Queries) - Deliver Test Results for Updated Queries to SME	11/07/14	1/15/15	Complete	DHSS approved to cancel on 1/15/2015.
D-I: Group1 (Claims-Provider STARS/JUCE Queries)	11/07/14	1/16/15	Complete	DHSS approved on 1/16/2015.
D: Submit Revised Task Analyses to DHSS - Fraud, Waste and Abuse	02/13/15	2/11/15	Complete	DHSS approved on 2/03/2015.
D: OPT Deliver Provider Billing Manual Addendum (v-1.0) to DHSS	01/22/15	2/06/15	Complete	DHSS approved on 2/12/2015.
D: Submit Revised Task Analyses to DHSS - Reporting and Monitoring	01/16/15	2/13/15	Complete	DHSS approved on 2/10/2015.
D: Submit Revised Task Analyses to DHSS - Quality Improvement	02/02/15	3/06/15	Complete	DHSS conditionally approved on 2/19/15. Xerox made requested updates per DHSS comments. Xerox re-delivered to DHSS on 2/27/15. DHSS approved on 3/3/2015.
D-I: Submit Task Analyses to DHSS - Finance	01/12/15	3/17/15	Complete	Xerox delivered to DHSS on 2/23/2015. DHSS response was due on 3/09/15. Xerox received DHSS comments on 3/17/15. The informal deliverable is now complete.
D: Submit Revised Task Analyses to DHSS - Claims Payment	02/20/15	4/10/15	Complete	Xerox delivered to DHSS on 2/11/2015. DHSS response was due on 2/26/15. Received DHSS conditional approval on 3/04/15. Xerox redelivered to DHSS on 3/25/15. DHSS approved on 4/6/2015.
D-I: Group4 (BHS Tribal STARS/JUCE Queries) - Deliver Test Results to State SME	02/06/15	7/31/15	Delayed	Of the 14 queries, 11 are under development, 2 are not be needed, and 1 query has been approved by the State. 2 Requirement specs have been approved by the State.
D: DHSS Reviews Ad Hoc Packages v2.01 - Learning Management	02/05/15	8/14/15	Submitted Before CAP End Date	Xerox delivered to DHSS on 2/20/2015. DHSS response was due on 3/06/15. On 3/26/15, Xerox received a rejection notice from Shene Williams, DHSS. Xerox drafted a response to the rejection and will discuss next steps with the DHSS PMO on 4/20. Xerox to create an LMS Ad Hoc package and deliver to the State. On 6/1/2015, Nick Faulkner approved the LMS package high level solution design.
D: Submit Revised Task Analyses to DHSS - Claims Processing	02/20/15	6/19/15	Submitted Before CAP End Date	Xerox delivered to DHSS on 2/19/2015. DHSS response was due on 3/05/15. State review in process and 60% complete as of 5/29/2015.
D: Submit Revised Task Analyses to DHSS - Finance	01/29/15	6/30/15	Delayed	State conditional approval given on 6/2/15. Xerox to address State comments and redeliver on 6/17/15.
D-I: Group2 (HCS Member, Travel STARS/JUCE Queries)	11/07/14	6/30/15	Delayed	The joint State/Xerox team is actively working on the requirements validation and development of the remaining 10 queries. At this time, the requirements for all10 queries have not been approved by the State, and downstream development and testing activities are proceeding at risk. Of the total 11 queries, 2 are under development, and 9 queries are approved by the State. 8 Requirement specs have been approved by the State.

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: Deferred DDI Deliverables – Detail Status (continued)

Reporting Period: 30-May-2015 to 5-June-2015

Report Date: 12-June-2015

Critical Issues – Management Intervention Required (Details are in Excel Appendix)

Issue type (New / existing)	Issue Summary	Business Impact	Nature of Management Intervention Sought	Due Date	Issue Status
261	Xerox is waiting for DHSS response to Group2 HCS Member, Travel STARS/JUCE queries deliverable. Updated Requirement specs were provided to the State on 4/23.	The delay in receiving approved requirements from the State has negatively impacted the delivery schedule for the informal and formal deliverables. The development and testing of the Group 2 queries is proceeding at risk, as we do not have approved requirements for all queries/reports.	Need feedback from the State on requirement specifications.	8-May-2015	Delayed
285	Xerox delivered 4 of 14 queries to DHSS on 2/9/15. Need feedback from the State on the submitted test results and requirement specs.	The delay in receiving approved requirements from the State has negatively impacted the delivery schedule for the informal and formal deliverables. The development and testing of the Group 4 queries is proceeding at risk, as we do not have approved requirements for all queries/reports.	Need feedback from the State on requirement specifications.	15-May-2015	Delayed

Workstream: Process Hardening – Detail Status

Reporting Period: 30-May-2015 to 5-June-2015

Report Date: 12-June-2015

Overall Workstream Status	Complete
<ul style="list-style-type: none"> Overall work stream status is green, as the governance and management processes are being updated as required to support CAP execution and on-going operations, in accordance with the baselined schedule. 	

Key Workstream Accomplishments
<ul style="list-style-type: none"> Continued execution of Release, Change and Defect management processes. Received CAP approval from State.
Key Next Steps
<ul style="list-style-type: none"> Address any process related comments received from the State if/when received.

Workstream specific Key Milestones / Deliverables				
Milestone / Deliverable	Planned Milestone	Projected Date	Milestone Status	Comments (Refer to Issue for Details)
Establish Program Governance	31-Jan-2015	27-Feb-2015	Complete	
Establish Project Management Processes	31-Jan-2015	27-Feb-2015	Complete	
Establish Technical Solution Delivery Processes	31-Jan-2015	27-Feb-2015	Complete	

Critical Issues – Management Intervention Required (Details are in Excel Appendix)					
Issue type (New / existing)	Issue Summary	Business Impact (i.e. CAP timeline, CAP Scope, Customer satisfaction)	Nature of Management Intervention Sought	Due Date	Issue Status
None					

Critical Risks – Management Intervention Required (Details are in Excel Appendix)					
Risk type (New / existing)	Risk Description	Risk Impact	Risk Mitigating Action	Target Mitigation Date	Current Risk Status
None					

Key Decisions and Actions Required to Implement
Decisions: <ul style="list-style-type: none"> None Actions: <ul style="list-style-type: none"> None

Workstream: Operational Backlog Reduction – Detail Status

Reporting Period: 30-May-2015 to 5-June-2015

Report Date: 12-June-2015

Overall Workstream Status

Delayed

Overall status summary is below:

Suspended Claims:

- **Exit criteria:** Workable claims inventory in suspense less than or equal to 60,000 lines or 25,000 documents
- **Status:** Exit criteria met; 30,026 lines and 26,889 claims as of 6/8/15. Excludes claims pending state action.

Provider Appeals:

- **Exit Criteria:** 95% of first-level appeals should be appropriately completed in 10 days or less.
- **Status:** Exit criteria met; backlog has been eliminated.

Claims Mass Adjustments:

- **Exit Criteria:** Development and approval of Mass Adjustment Plan
- **Status:** During joint meeting with the state on 4/3/15, the State agreed to handle remaining mass adjustments as a post-CAP activity according to the pace and schedule determined by the State. The state has asked to delete the existing Mass Adjustment claims and re-start the adjustment process. State has agreed to review statistically valid sample of claims from a batch.

Key Workstream Accomplishments

- **Suspended Claims:** Suspended claims below CAP exit criteria.
- **Provider Appeals:** Completed provider claims backlog
- **Claims Mass Adjustment:** Existing suspending Mass Adjustment batches have been deleted. A new work plan for MA was created and sent to the State for approval.

Next Steps

- **Suspended Claims:** Continue to work suspended claims
- **Provider Appeals:** N/A, backlog complete
- **Claims Mass Adjustments:** Receive State approval on updated submitted MA work plan.

Workstream specific Key Milestones / Deliverables (Next 30 Days)

Milestone / Deliverable	Planned Milestone	Projected Milestone	Milestone Status	Comments (Refer to Issue for Details)
Provider Appeals: 95% first-level appeals completed within 10 days	31-Dec-2014	23-Jan-2015	Complete	Complete on 1/16/15
Claims mass adjustments: Production Execution 1	6-Jan-2015	19-Jan-2015	Complete	Updated execution schedule delivered - Issue 267
Claims mass adjustments: Production Execution 2	6-Jan-2015	26-Jan-2015	Complete	Updated execution schedule delivered - Issue 267
Claims mass adjustments: Production Execution 3	12-Jan-2015	19-Feb-2015	Complete	Updated execution schedule delivered - Issue 267
Claims mass adjustments: Production Execution 4	19-Jan-2015	26-Feb-2015	Complete	Updated execution schedule delivered - Issue 267
Claims mass adjustments: Production Execution 5	26-Jan-2015	30-Jun-2015	Delayed	Updated execution schedule delivered - Issue 267.
Suspended Claims December Backlog Reduction (<40,000 claims & 75% <30 days)	31-Jan-2015	13-Feb-2015	Complete	Exit criteria satisfied. Hence marking milestones complete.

Critical Issues – Management Intervention Required (Details are in SharePoint)

Issue type (New / existing)	Issue Summary	Business Impact (i.e. CAP timeline, CAP Scope, Customer satisfaction)	Nature of Management Intervention Sought	Due Date	Issue Status
(267)	Claims Mass Adjustment Processing	CAP Timeline	None	6/30/15	Delayed

Critical Risks – Management Intervention Required (Details are in SharePoint)

Risk type (New / existing)	Risk Description	Risk Impact	Risk Mitigating Action	Target Mitigation Date	Current Risk Status

Key Decisions and Actions Required to Implement

Decisions:

- None

Actions:

- None

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: Reporting – Detail Status

Reporting Period: 30-May-2015 to 5-June-2015

Report Date: 12-June-2015

Overall Workstream Status

Delayed

- Report reviews continue based on State pace and direction
- All reports have been delivered and are currently under review with the exception of the MR-O-14 report which was not delivered on 2/25/2015 per the State's request.
 - The MR-O-14 report remains an on-going iterative development effort. The state has decided to defer review of this report until all recent requested changes have been applied. Development is in process.

Key Workstream Accomplishments

- Continued joint review sessions.

Next Steps

- Continue with MR-O-14 development.
- Continue to work on CRs and defects.

Critical Issues – Management Intervention Required (Details are in SharePoint)

Issue type (New / existing)	Issue Summary	Business Impact (i.e. CAP timeline, CAP Scope, Customer satisfaction)	Nature of Management Intervention Sought	Due Date	Issue Status
(284)	Test Package Validation	CAP Timeline	None	27-Mar-2015	Delayed

Critical Risks – Management Intervention Required (Details are in SharePoint)

Risk type (New / existing)	Risk Description	Risk Impact	Risk Mitigating Action	Target Mitigation Date	Current Risk Status
Existing (48)	CMS64 Testing Issues	CAP Timeline	Continuing to test with partial fix	12/18/14	Resolved

Workstream specific Key Milestones / Deliverables

Milestone / Deliverable	Baseline Approval Milestone	Projected Approval Milestone	Milestone Status	Comments (Refer to Issue for Details)
MR-O-89 – Financial Summary by Month of Service	21-Jan-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial joint team review completed 3/20/15
MR-O-90 – Incurred Expense Report by Month of Service	21-Jan-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial joint team review completed 3/27/15
MR-O-81 – Lag Adjustment Factor Report	21-Jan-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial joint team review completed 3/20/15
MR-O-B01/B02 – Claims/Non-Claims Balancing	21-Jan-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial joint team review completed 3/27/15
Phase 1 Reports test packaging: MR-O-01, 02, 04, 91	23-Jan-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial joint team review completed
CMS416 Annual EPSDT Participation Report	27-Jan-2014	NA	De-scoped from CAP	Per 2/23 Joint CCB, report was de-scoped from CAP. The state performed validation of changes to allow it to be filed by 4/1/15. Final changes are expected to take 1-2 months to complete.
MR-O-17A/B Cost Recovery/Avoidance Analysis	2-Feb-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial joint team review completed
MR-O-25 – Benefit Usage Analysis	3-Feb-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial joint team review completed 3/20/15
MR-O-11A – Exception Distribution analysis Summary	4-Feb-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial joint team review completed 3/24/15
CMS372 – Waiver reports	10-Feb-2015	30-Jun-2015	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State response was due on 3/2. Initial joint team review completed 3/20/15
MR-O-82 – EPSDT Program Enrollment Totals	25-Feb-2015	NA	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-83 – EPSDT Screening Participation Rates	25-Feb-2015	NA	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-84 – EPSDT Dental Participation Rates	25-Feb-2015	NA	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-85 – EPSDT Avg # Screenings (Ages 3-6)	25-Feb-2015	NA	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-86 – EPSDT Avg # Screenings (0-15 months)	25-Feb-2015	NA	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-14 Modifications	27-Feb-2015	31-Aug-2015	Delayed	Xerox was prepared to deliver on 2/25. The State stated they won't review report until all WO changes are implemented. (WO #S13H1909 and captured in CR# ESPRD0100121.) This is an on-going iterative project; State provided latest specs on 4/21/15. Development in progress.
CMS64 – Quarterly Expense Report	27-Feb-2015	NA	De-scoped from CAP	Per 2/23 Joint CCB, report was de-scoped from CAP, and will be handled with Medicaid expansion.

Legend

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